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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**



Declaration
Submitted
with Initial
Filing

OR



Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

REF-3.2.004/4173

First Named Inventor

Karl Dallas KIRK III et al

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

EAR CLIP SPEAKERS THAT INTERLOCK AND ENABLE CORD SPOOLING

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒Customer Number
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OR ☐

Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :



A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Karl Dallas

Family Name
or Surname

KIRK III

Inventor's
Signature

Date

4 FEB 02

New York

NY

USA

USA

Residence: City

State

Country

Citizenship

Mailing Address

30 Bond Street - #5

New York
CityNY
State10012
ZIPUSA
Country

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

William

Family Name
or Surname

FIEBEL

Inventor's
Signature

Date

Clifton

NJ
StateUSA
Country

USA

Residence: City

Citizenship

Mailing Address

60 Surrey Lane

Clifton
CityNJ
State07012
ZIPUSA
CountryAdditional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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NAME OF SOLE OR FIRST INVENTOR :



A petition has been filed for this unsigned inventor

Given Name

Karl Dallas

(first and middle [if any])

Family Name
or Surname

KIRK III

Inventor's
Signature

Date

4 FEB 02

New York

NY

USA

USA

Residence: City

State

Country

Citizenship

Mailing Address 30 Bond Street - #5

New York

NY

10012

USA

City

State

ZIP

Country

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name

William

(first and middle [if any])

Family Name
or Surname

FIEBEL

Inventor's
Signature

Date

2/27/02

Clifton

NJ

USA

USA

Residence: City

State

Country

Citizenship

Mailing Address 60 Surrey Lane

Clifton

NJ

07012

USA

City

State

ZIP

Country

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Anna

Given
Name

STERN

Family Name
or SurnameInventor's
Signature

Date

Stockholm

Residence: City

State

SWEDEN

Country

SWEDEN

Citizenship

S:t Goransgatan 65, 5 tr.
Mailing Address

Mailing Address

City Stockholm

State

S-112 38
ZIPSWEDEN
Country

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventorGiven
NameFamily Name
or SurnameInventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventorGiven
NameFamily Name
or SurnameInventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	
Filing Date	
First Named Inventor	Karl Dallas KIRK III
Title	EAR CLIP SPEAKERS...
Group Art Unit	
Examiner Name	
Attorney Docket Number	REF-3.2.004/4173

I hereby appoint:

☒ Practitioners at Customer Number

26784

Place Customer
Number Bar Code
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☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

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☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Anna STERN

Signature

Anna Stern

Date

25th February 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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☒ Applicant/Inventor.

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

William FIEBEL

Signature

William Fiebel

Date

2/27/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

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Examiner Name	
Attorney Docket Number	REF-3.2.004/4173

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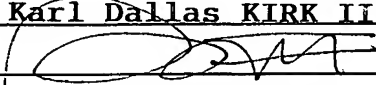
Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).


SIGNATURE of Applicant or Assignee of Record

Name	Karl Dallas KIRK III
Signature	
Date	MAR 02

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☐ *Total of _____ forms are submitted.

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☐ Applicant/Inventor.

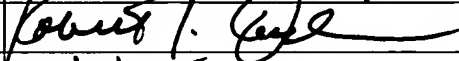
☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Robert L. TUCHMAN, President

Signature



Date

3/1/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

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ASSIGNMENT

WHEREAS, I, **Karl Dallas KIRK III**, a USA citizen, having a Post Office address at 30 Bond Street, #5, New York, New York 10012, USA; and

WHEREAS, I, **William FIEBEL**, a USA citizen, having a Post Office address at 60 Surrey Lane, Clifton, New Jersey 07012, USA; and

WHEREAS, I, **Anna STERN**, a SWEDISH citizen, having a Post Office address at S:t Goransgatan 85, 5 tr., S-112 38 Stockholm, SWEDEN;

hereinbelow called "Assignors," have made a certain new invention for

EAR CLIP SPEAKERS THAT INTERLOCK AND ENABLE CORDSPOOLING

which is described in an Application for Letters Patent of the United States of America being filed concurrently herewith.

WHEREAS, **REFAC INTERNATIONAL, LTD**, a corporation organized and existing under and by virtue of the laws of the State of Nevada, and having offices and doing business at The Hudson River Pier, 115 River Road, Edgewater, New Jersey 07020-1099, USA, and elsewhere, hereinbelow called "Assignee," is desirous of securing the entire right, title and interest in and to the said invention, application and Letters Patent, when granted, and in and to any divisions, continuations, continuation-in-parts, improvements, reexaminations, reissues or extensions that may be made or granted thereon;

NOW, THEREFORE, BE IT KNOWN that for One Dollar (\$1.00) and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, we, the said Assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the Assignee, its successors and assigns, the entire right, title and interest throughout the world in and to the invention, application and Letters Patent, when granted, and in and to any divisions, continuations, continuation-in-parts, improvements, reexaminations, reissues or extensions that may be made or granted on any of them; and

FOR THE CONSIDERATION AFORESAID, we hereby covenant and agree that whenever requested by said Assignee, its counsel or representative, the Assignee's successors, assigns, nominees, or the counsel or representative of the Assignee's successors, assigns, or nominees, to execute any papers or drawings for procurement of valid Letters Patent for the invention or for the reissue, reexamination, division, continuation or


extension of the same, we will do so and will take all rightful oaths and affidavits, and do all acts necessary or required to be done, including testifying at an interference proceeding, to secure to the said Assignee, its successors and assigns, the title to and full benefit of all rights hereby assigned, without charge to said Assignor, but at the expense of the Assignee, its successors, assigns or nominees; and

FOR THE CONSIDERATION AFORESAID, we have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto the said Assignee, its successors and assigns, or the nominees of any of them, the entire right, title and interest in and to any and all Letters Patent for said invention which may be granted in countries foreign to the United States, and in and to any applications for Letters Patent which may be filed for said invention in countries foreign to the United States, and in and to the invention described in said applications; and we hereby authorize and empower said Assignee and its successors, assigns or nominees, to apply for Letters Patent or other form of protection on said invention in its own name or in the name of its successors, assigns or nominees, in any or all countries where it may desire to file such application, and where said application may be filed by another other than the inventor; and we hereby covenant and agree to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the procurement and maintenance of Letters Patent or other form of protection for said invention in countries foreign to the United States, and for further investing or confirming the right and title thereto in the Assignee, its successors, assigns or nominees, without charge to said Assignor, but at the expense of the Assignee, its successors, assigns or nominees;

TO HAVE AND TO HOLD the same to the full end of the term or terms for which Letters Patent may be granted, as fully and completely as the same might be held by us had this sale and assignment not been made;

AND The Commissioner of Patents is requested to issue said Letters Patent, when granted, in accordance with this sale and assignment. We hereby declare under penalty of perjury under the laws of the United States of America that we have signed this document as our own free act and that all of the foregoing is true and correct.

DATE: 4 FEB 02


Karl Dallas KIRK III
First Joint Inventor

WITNESS:

Signature: Marybeth Jacobsen

Name: (C/o Ref) Marybeth Jacobsen

Address: 118 River Rd
Edgewater, NJ 07020

DATE: _____

William FIEBEL
Second Joint Inventor

WITNESS:

Signature: _____

Name: _____

Address: _____

DATE: _____

Anna STERN
Third Joint Inventor

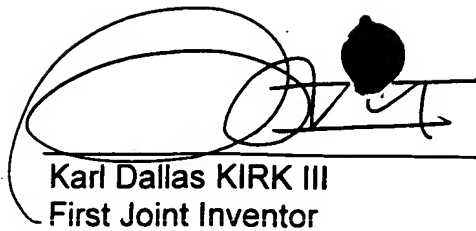
WITNESS:

Signature: _____

Name: _____

Address: _____

DATE: 4 FEB 02


Karl Dallas KIRK III
First Joint Inventor

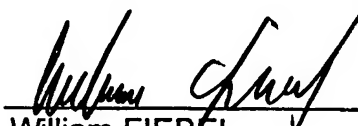
WITNESS:

Signature: Marybeth Jacobsen

Name: (C/O Refn) Marybeth Jacobsen

Address: 118 River Rd
Edgewater, NJ 07020

DATE: 2/27/02


William FIEBEL
Second Joint Inventor

WITNESS:

Signature: Christopher J. Brooks

Name: CHRISTOPHER J. BROOKS

Address: 13 WILLOW LANE
CLINTON HEAD, NY 11545

DATE: _____

Anna STERN
Third Joint Inventor

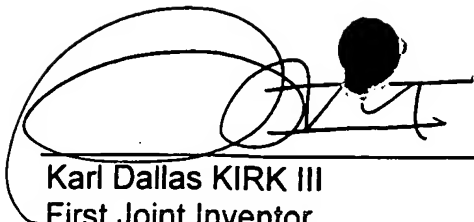
WITNESS:

Signature: _____

Name: _____

Address: _____

DATE: 4 FEB 02


Karl Dallas KIRK III
First Joint Inventor

WITNESS:

Signature: Marybeth Jacobsen

Name: (C/O Refn) Marybeth Jacobsen

Address: 118 River Rd
Edgewater, NJ 07020

DATE: _____

William FIEBEL
Second Joint Inventor

WITNESS:

Signature: _____

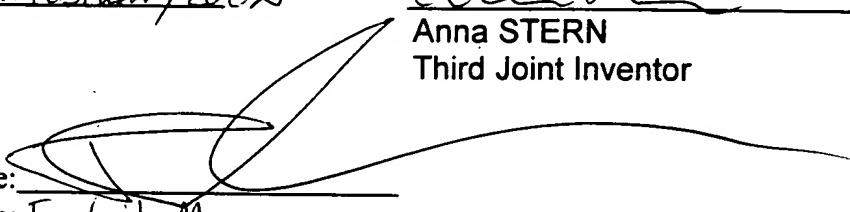
Name: _____

Address: _____

DATE: 25th February 2002


Anna STERN
Third Joint Inventor

WITNESS:

Signature: 

Name: Fredrik Magnussen

Address: 40 Propeller
Patentgatan 12
S-11267 Stockholm
Sweden

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Karl Dallas KIRK III et al

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: EAR CLIP SPEAKERS THAT INTERLOCK AND ENABLE CORD SPOOLINGREFAC International, Ltd. a corporation of Nevada, USA

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. **(being filed concurrently herewith)**

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

3/1/02
Date

ROBERT L. TUCHMAN
Typed or printed name

Signature

President
Title